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# Riesz bounds of Wilson bases generated by $B$ -splines

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**Abstract.** In this short paper, we are concerned with biorthogonal Wilson bases having  $B$ -splines as well as powers of sinc functions as window functions. We prove properties of  $B$ -splines and exponential Euler splines and use these properties to estimate the Riesz bounds of the Wilson bases.

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## 1 Introduction

Gabor frames  $\{g(x-an)e^{2\pi ibmx} : m, n \in \mathbb{Z}\}$  ( $a, b \in \mathbb{R}_+$ ) have found wide applications in digital signal processing, in particular in time-frequency localization of signals (cf. [11]). However, by the Balian-Low theorem, Riesz bases of the above form have necessarily bad localization properties in time or frequency. See [9, p. 108] and the references therein. Therefore Wilson [18] introduced orthonormal bases that avoid the Balian-Low phenomenon by considering functions having two peaks in frequency domain. Wilson's suggestion was simplified to a constructive approach in [10].

A more general construction are the orthonormal local trigonometric bases proposed in [7] and [13]. Here the concept of folding operators plays a significant role (cf. [1]). In contrast to Wilson bases, local Fourier bases require the basic assumption that only immediate

neighboring windows are allowed to overlap. According to [5], we call this assumption the *two-overlapping condition*. On the other hand, local trigonometric bases can also be constructed on a nonuniform partition of the real axis.

Based on an extension of the folding concept biorthogonal local Fourier bases were examined in [5, 2]. The consideration of biorthogonal Wilson bases was addressed in [6] and for special Gaussian windows in [8].

In this paper, we are concerned with biorthogonal Wilson bases. In Section 2, we provide a simple approach to basic material concerning biorthogonal Wilson bases which differs from [6]. The approach is based on the connection of the folding concept with the Zak transform and was suggested by Bittner [3].

Based on the results in Section 2, we estimate Riesz bounds of Wilson bases with cardinal  $B$ -splines and their Fourier transforms as window functions. For this, we have to prove properties of cardinal  $B$ -splines and exponential Euler splines which may be also interesting in other contexts.

## 2 Biorthogonal Wilson bases

Based on the orthonormal bases  $\{c_k : k \in \mathbb{N}_0\}$  and  $\{s_k : k \in \mathbb{N}\}$  of  $L^2([0, 1/2])$  given by

$$c_0(x) := \sqrt{2}, \quad c_k(x) := 2 \cos(2\pi kx), \quad s_k(x) := 2 \sin(2\pi kx) \quad (k \in \mathbb{N}),$$

we follow [12] and introduce the functions

$$\psi_k^j(x) = \begin{cases} \sqrt{2}g(x - j/2) & k = 0, j \in \mathbb{Z} \text{ even}, \\ 2g(x - j/2) \cos(2\pi kx) & k \in \mathbb{N}, j \in \mathbb{Z} \text{ even}, \\ 2g(x - j/2) \sin(2\pi kx) & k \in \mathbb{N}, j \in \mathbb{Z} \text{ odd}, \end{cases} \quad (2.1)$$

where  $g \in L^2(\mathbb{R})$  denotes a window function. We are interested in properties of

$$\mathcal{B}_g := \{\psi_k^{2j} : j \in \mathbb{Z}, k \in \mathbb{N}_0\} \cup \{\psi_k^{2j+1} : j \in \mathbb{Z}, k \in \mathbb{N}\}. \quad (2.2)$$

Clearly, a similar approach is possible with respect to other intervals than  $[0, 1/2]$  and with respect to the other orthonormal bases of  $L^2([0, 1/2])$  usually involved in the construction of local Fourier bases. See [1].

If  $\text{supp } g \subseteq [-1/4, 3/4]$ , then the functions  $\psi_k^j$  satisfy a two-overlapping condition and we consider a special case of local Fourier bases.

To define a folding operator for arbitrary  $g \in L^2(\mathbb{R})$  similar to the folding operator known from local Fourier bases (cf. [5, 2]), we apply the Zak transform.

The Zak transformation  $Z : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{T}^2) := L^2([0, 1]^2)$  is the unitary linear operator, which maps the orthonormal basis  $\{E_{jk}(x) := e^{2\pi i j x} \mathbf{1}_{[0,1]}(x - k) : j, k \in \mathbb{Z}\}$  of  $L^2(\mathbb{R})$  to the orthonormal basis  $\{e_{jk}(s, t) := e^{2\pi i j s} e^{2\pi i k t} : j, k \in \mathbb{Z}\}$  of  $L^2(\mathbb{T}^2)$ , i.e.

$$Z(E_{jk}) = e_{jk} \quad (j, k \in \mathbb{Z}).$$

Here  $\mathbf{1}_{[0,1]}$  denotes the characteristic function of  $[0, 1]$ . For  $f \in L^2(\mathbb{R})$ , the Zak transform is given by

$$Zf(s, t) = \sum_{k \in \mathbb{Z}} f(s + k) e^{2\pi i k t} \quad ((s, t) \in \mathbb{T}^2). \quad (2.3)$$

Furthermore, we have

$$Zf(s+1, t) = e^{-2\pi it} Zf(s, t), \quad Zf(s, t+1) = Zf(s, t). \quad (2.4)$$

Let the Fourier transform  $\hat{f} \in L^2(\mathbb{R})$  of a function  $f \in L^2(\mathbb{R})$  be defined by

$$\hat{f}(v) := \int_{\mathbb{R}} f(x) e^{-2\pi i xv} dx.$$

Then for  $f \in L^2(\mathbb{R}) \cap L^1(\mathbb{R})$  with sufficiently fast decay of  $f$  and  $\hat{f}$ , e.g.  $|f(x)| \leq C|x|^{-1-\epsilon}$  and  $|\hat{f}(x)| \leq C|x|^{-1-\epsilon}$ , the Zak transforms of  $f$  and  $\hat{f}$  are related by

$$Z\hat{f}(s, t) = e^{-2\pi ist} Zf(t, -s) \quad ((s, t) \in \mathbb{T}^2). \quad (2.5)$$

Let  $I_j := [j/2, (j+1)/2]$ . By (2.3) and (2.4), it is easy to check that

$$\begin{aligned} Z(\mathbf{1}_{I_{2j}} c_k)(s, t) &= \begin{cases} c_k(s) e^{2\pi ijt} & s \in [0, 1/2], \\ 0 & s \in [-1/2, 0), \end{cases} \\ Z(\mathbf{1}_{I_{2j+1}} s_k)(s, t) &= \begin{cases} 0 & s \in [0, 1/2], \\ s_k(s) e^{2\pi i(j+1)t} & s \in [-1/2, 0) \end{cases} \end{aligned}$$

and that

$$\begin{aligned} Z(\psi_k^{2j})(s, t) &= c_k(s) e^{2\pi ijt} Zg(s, t), \\ Z(\psi_k^{2j+1})(s, t) &= -s_k(s) e^{2\pi i(j+1)t} (-Zg(s+1/2, t)). \end{aligned}$$

This can be rewritten as

$$\begin{pmatrix} Z\psi_k^{2j}(s, t) \\ Z\psi_k^{2j}(-s, t) \end{pmatrix} = M_g^*(s, t) \begin{pmatrix} Z(\mathbf{1}_{I_{2j}} c_k)(s, t) \\ Z(\mathbf{1}_{I_{2j}} c_k)(-s, t) \end{pmatrix} \quad ((s, t) \in [0, 1/2] \times \mathbb{T}), \quad (2.6)$$

$$\begin{pmatrix} Z\psi_k^{2j+1}(s, t) \\ Z\psi_k^{2j+1}(-s, t) \end{pmatrix} = M_g^*(s, t) \begin{pmatrix} Z(\mathbf{1}_{I_{2j+1}} s_k)(s, t) \\ Z(\mathbf{1}_{I_{2j+1}} s_k)(-s, t) \end{pmatrix} \quad ((s, t) \in [0, 1/2] \times \mathbb{T}), \quad (2.7)$$

where

$$M_g(s, t) = \begin{pmatrix} \overline{Zg(s, t)} & \overline{Zg(-s, t)} \\ -\overline{Zg(s+1/2, t)} & \overline{Zg(-s+1/2, t)} \end{pmatrix}$$

and  $M_g^* = \overline{M_g}^T$ . This motivates the following definition of the adjoint folding operator  $T_g^* : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$

$$\begin{pmatrix} Z(T_g^* f)(s, t) \\ Z(T_g^* f)(-s, t) \end{pmatrix} = M_g^*(s, t) \begin{pmatrix} Zf(s, t) \\ Zf(-s, t) \end{pmatrix} \quad ((s, t) \in [0, 1/2] \times \mathbb{T}).$$

Clearly, the corresponding folding operator  $T_g : L^2(\mathbb{R}) \rightarrow L^2(\mathbb{R})$  is given by

$$\begin{pmatrix} Z(T_g f)(s, t) \\ Z(T_g f)(-s, t) \end{pmatrix} = M_g(s, t) \begin{pmatrix} Zf(s, t) \\ Zf(-s, t) \end{pmatrix} \quad ((s, t) \in [0, 1/2] \times \mathbb{T}).$$

In particular, we see by (2.6) and (2.7) that

$$Z\psi_k^{2j} = ZT_g^*(\mathbf{1}_{I_{2j}} c_k), \quad Z\psi_k^{2j+1} = ZT_g^*(\mathbf{1}_{I_{2j+1}} s_k). \quad (2.8)$$

In the "two-overlapping" setting, the folding operator  $T_g$  coincides with the usual folding operator for local Fourier bases on the equally partitioned real axis [5, 2].

In Section 3, we examine window functions  $g \in L^2(\mathbb{R})$  which are symmetric with respect to  $1/4$ , i.e.

$$g(x) = \overline{g(1/2 - x)}. \quad (2.9)$$

For these window functions, we have

$$Zg(s, t) = \sum_{k \in \mathbb{Z}} g(s + k) e^{2\pi i k t} = \sum_{k \in \mathbb{Z}} \overline{g(1/2 - s - k)} e^{-2\pi i (-k)t} = \overline{Zg(1/2 - s, t)}$$

such that  $M_g$  has the simpler form

$$M_g(s, t) = \begin{pmatrix} \overline{Zg(s, t)} & \overline{Zg(-s, t)} \\ -Zg(-s, t) & Zg(s, t) \end{pmatrix} \quad ((s, t) \in [0, 1/2] \times \mathbb{T}). \quad (2.10)$$

With the above folding concept at hand, we consider (2.2).

Remember that a set of functions  $\{u_k \in L^2(\mathbb{R}) : k \in \mathbb{Z}\}$  is a *frame* of  $L^2(\mathbb{R})$ , if for all  $f \in L^2(\mathbb{R})$  there exist constants  $0 < A \leq B < \infty$  such that

$$A \|f\|_{L^2(\mathbb{R})}^2 \leq \sum_{k \in \mathbb{Z}} |(f, u_k)_{L^2(\mathbb{R})}|^2 \leq B \|f\|_{L^2(\mathbb{R})}^2.$$

The best possible constants  $A$  and  $B$  are called *frame bounds*. Every function  $f \in L^2(\mathbb{R})$  can be reconstructed from the values  $(f, u_k)_{L^2(\mathbb{R})}$  ( $k \in \mathbb{Z}$ ), where the convergence of

$$f = \sum_{k \in \mathbb{Z}} (f, u_k)_{L^2(\mathbb{R})} \tilde{u}_k \quad (2.11)$$

with respect to the "most economical"  $\tilde{u}_k \in L^2(\mathbb{R})$  is determined by the quotient  $\frac{B-A}{B+A} = \frac{B/A-1}{B/A+1}$  which should be small (cf. [9, p. 62]). However, frame expansions of functions are in general not unique. Instead of  $\{\tilde{u}_k \in L^2(\mathbb{R}) : k \in \mathbb{Z}\}$  other function systems may fulfil (2.11) too. To obtain unique representations of functions as superposition of basic functions  $u_k$  we must turn to Riesz bases.

A set of functions  $\{u_k \in L^2(\mathbb{R}) : k \in \mathbb{Z}\}$  is called a *Riesz basis* of  $L^2(\mathbb{R})$ , if  $L^2(\mathbb{R})$  is the closure of all finite linear combinations of the functions  $u_k$  ( $k \in \mathbb{Z}$ ) and if for all  $\{c_k\}_{k \in \mathbb{Z}} \in l^2$  there exist constants  $0 < A \leq B < \infty$  such that

$$A \|\{c_k\}\|_{l^2} \leq \left\| \sum_{k \in \mathbb{Z}} c_k u_k \right\|_{L^2(\mathbb{R})} \leq B \|\{c_k\}\|_{l^2}.$$

The best possible constants  $A$  and  $B$  are the *Riesz bounds*. Further,  $\{u_k \in L^2(\mathbb{R}) : k \in \mathbb{Z}\}$  is an orthonormal basis if and only if  $A = B = 1$ . Riesz bases are precisely those that are images, under invertible bounded linear operators on  $L^2(\mathbb{R})$ , of orthonormal bases.

For our set  $\mathcal{B}_g$ , we can establish the following

**Theorem 2.1.** Let  $g \in L^2(\mathbb{R})$ . Then, for  $\mathcal{B}_g$  given by (2.1) and (2.2), the following statements are equivalent:

i)  $\mathcal{B}_g$  is a frame with frame bounds  $A, B$ .

- ii)  $\mathcal{B}_g$  is a Riesz basis with Riesz bounds  $A, B$ .  
 iii) There exists constants  $0 < A \leq B < \infty$  such that

$$A \leq \|M_g(s, t)^{-1}\|_2^{-2}, \|M_g(s, t)\|_2^2 \leq B \quad \text{a.e. on } [0, 1/2] \times \mathbb{T} \quad (2.12)$$

and  $A, B$  are the best possible constants fulfilling these inequalities. Here  $\|\cdot\|_2$  denotes the spectral norm.

Furthermore, if  $g \in L^2(\mathbb{R})$  satisfies the symmetry property (2.9), then (2.12) can be rewritten as

$$A \leq D_g(s, t) \leq B \quad \text{a.e. on } [0, 1/2] \times \mathbb{T}, \quad (2.13)$$

where  $D_g(s, t) := |Zg(s, t)|^2 + |Zg(-s, t)|^2$ .

Since we are not aware of a proof of Theorem 2.1 in literature, we sketch the short proof here. For a proof of (2.13) in the case of orthonormal Wilson bases see [10]. Note further that Bittner [4] has announced more sophisticated results in this direction.

**Proof.** For  $g \in L^2(\mathbb{R})$ , with symmetry property (2.9), we have by (2.10) that

$$M_g^*(s, t)M_g(s, t) = \begin{pmatrix} D_g(s, t) & 0 \\ 0 & D_g(s, t) \end{pmatrix},$$

which yields the equivalence of (2.12) and (2.13) for these functions.

Now we show that i)  $\xrightarrow{1}$  iii)  $\xrightarrow{2}$  ii)  $\xrightarrow{3}$  i).

The third implication is straightforward, since every Riesz basis is a frame.

1. By (2.8) and since  $Z$  is a unitary operator, we obtain

$$\sum_{j,k} |(f, \psi_k^j)_{L^2(\mathbb{R})}|^2 = \sum_{j,k} |(ZT_g f, Z(\mathbf{1}_{I_{2j}} c_k))_{L^2(\mathbb{R})}|^2 + \sum_{j,k} |(ZT_g f, Z(\mathbf{1}_{I_{2j+1}} s_k))_{L^2(\mathbb{R})}|^2.$$

The functions  $\{\mathbf{1}_{I_{2j}} c_k\}_{j \in \mathbb{Z}, k \in \mathbb{N}_0} \cup \{\mathbf{1}_{I_{2j+1}} s_k\}_{j \in \mathbb{Z}, k \in \mathbb{N}}$  form an orthonormal basis of  $L^2(\mathbb{R})$ . Thus, by Parseval's identity

$$\sum_{j,k} |(f, \psi_k^j)_{L^2(\mathbb{R})}|^2 = \|ZT_g f\|_{L^2(\mathbb{T}^2)}^2$$

and further by definition of  $ZT_g$

$$\|ZT_g f\|_{L^2(\mathbb{T}^2)}^2 = \int_0^1 \int_0^{\frac{1}{2}} \|M_g(s, t) \begin{pmatrix} Zf(s, t) \\ Zf(-s, t) \end{pmatrix}\|_2^2 ds dt. \quad (2.14)$$

Since on the other hand

$$\int_0^1 \int_0^{\frac{1}{2}} \left\| \begin{pmatrix} Zf(s, t) \\ Zf(-s, t) \end{pmatrix} \right\|_2^2 ds dt = \|Zf\|_{L^2(\mathbb{T}^2)}^2 = \|f\|_{L^2(\mathbb{R})}^2$$

we obtain that i) implies iii).

2. By (2.14) and since  $Z$  is a unitary operator, we see that

$$\|T_g f\|_{L^2(\mathbb{R})}^2 = \int_0^1 \int_0^{\frac{1}{2}} \|M_g(s, t) \begin{pmatrix} Zf(s, t) \\ Zf(-s, t) \end{pmatrix}\|_2^2 ds dt,$$

such that  $T_g$  is a bounded linear operator with bounded inverse if  $M_g$  fulfills iii). Since we have by (2.8) that  $\mathcal{B}_g = \{T_g^*(1_{I_{2j}c_k}) : j \in \mathbb{Z}, k \in \mathbb{N}_0\} \cup \{T_g^*(1_{I_{2j+1}s_k}) : j \in \mathbb{Z}, k \in \mathbb{N}\}$ , this yields ii). ■

### 3 $B$ -splines and their Fourier transforms as window functions

The *cardinal  $B$ -splines*  $N_m$  of order  $m$  are defined by

$$N_1 := \frac{1}{2}(1_{[0,1)} + 1_{(0,1]}), \quad N_{m+1} := N_m * N_1 \quad (m \in \mathbb{N}),$$

where  $*$  denotes the convolution in  $L^2(\mathbb{R})$ . The *centered cardinal  $B$ -splines*  $M_m$  of order  $m$  are given by

$$M_m(x) := N_m(x + m/2). \quad (3.1)$$

Note that  $\text{supp}(N_m) = [0, m]$  and that  $N_m$  is symmetric with respect to  $m/2$ , i.e.  $N_m(m/2 - x) = N_m(m/2 + x)$ . The Fourier transform of  $M_m$  is given by

$$\hat{M}_m(v) = (\text{sinc}(v))^m, \quad (3.2)$$

where

$$\text{sinc}(v) := \begin{cases} 1 & v = 0, \\ \frac{\sin(\pi v)}{\pi v} & \text{otherwise.} \end{cases}$$

Moreover,  $B$ -splines fulfil the *two-scale relation*

$$N_m(x) = 2^{1-m} \sum_{k=0}^m \binom{m}{k} N_m(2x - k). \quad (3.3)$$

We begin with the consideration of the two-overlapping case, i.e. we set  $g(x) := M_m(a(x - 1/4))$  ( $a \geq m$ ). To determine the Riesz bounds of the corresponding Wilson bases, we have to apply the following lemma which seems to be clear at first glance.

**Lemma 3.1.** For  $m \geq 2$ , the cardinal  $B$ -splines have the following properties:

- i)  $N'_m$  is monotone increasing on  $[0, \frac{m+1}{4}]$ ,
- ii)  $N'_m(x) \leq N'_m(\frac{m}{2} - x)$  for all  $x \in [0, \frac{m}{4}]$ .



**Proof.** We prove the assertion by induction on  $m$ , where we mainly apply that the derivatives of cardinal  $B$ -splines fulfil (cf. [15])

$$N'_{m+1}(x) = N_m(x) - N_m(x-1) = \int_{x-1}^x N'_m(t) dt. \quad (3.4)$$

For the "hat function"  $N_2$ , the assertion is obvious.

Assume now that i) and ii) hold for  $k \leq m$ .

First, we show that  $N'_{m+1}$  is monotone increasing on  $[0, \frac{m+2}{4}]$ .

By induction hypothesis i), we have for  $t \in [0, \frac{m+1}{4}]$  that

$$N'_m(t) - N'_m(t-1) \geq 0.$$

Let  $t \in [\frac{m+1}{4}, \frac{m+2}{4}]$  such that  $t-1 \in [\frac{m-3}{4}, \frac{m-2}{4}]$  and  $\frac{m}{2} - t \in [\frac{m-2}{4}, \frac{m-1}{4}]$ . Then we obtain by assumption i) that

$$N'_m(t) - N'_m(t-1) \geq N'_m(t) - N'_m\left(\frac{m}{2} - t\right)$$

and further, since by induction hypothesis ii) for  $t \in [\frac{m}{4}, \frac{m}{2}]$

$$N'_m\left(\frac{m}{2} - t\right) \leq N'_m(t),$$

that

$$N'_m(t) - N'_m(t-1) \geq 0.$$

Thus, we get for  $0 \leq x \leq y \leq \frac{m+2}{4}$  that

$$\begin{aligned} \int_0^x N'_m(t) - N'_m(t-1) dt &\leq \int_0^y N'_m(t) - N'_m(t-1) dt, \\ N_m(x) - N_m(x-1) &\leq N_m(y) - N_m(y-1), \end{aligned}$$

which yields assertion ii) by (3.4).

Next, we prove ii). We distinguish the cases  $x \in [0, \frac{1}{2}]$ ,  $x \in [\frac{1}{2}, \frac{m-1}{4}]$  and  $x \in [\frac{m-1}{4}, \frac{m+1}{4}]$ . Let  $x \in [0, \frac{1}{2}]$ . Then we obtain by (3.4) and since  $N'_m(t) = -N'_m(m-t)$  that

$$\begin{aligned} N'_{m+1}\left(\frac{m+1}{2} - x\right) &= \int_{\frac{m+1}{2}-x-1}^{\frac{m+1}{2}-x} N'_m(t) dt = \int_{\frac{m-1}{2}-x}^{\frac{m}{2}} N'_m(t) dt + \int_{\frac{m}{2}}^{\frac{m+1}{2}-x} N'_m(t) dt \\ &= \int_{\frac{m}{2}-\frac{1}{2}+x}^{\frac{m}{2}-\frac{1}{2}+x} N'_m(t) dt \end{aligned}$$

and further by assumption ii) and i) that

$$N'_{m+1}\left(\frac{m+1}{2} - x\right) \geq \int_{-x+\frac{1}{2}}^{x+\frac{1}{2}} N'_m(t) dt \geq \int_0^x N'_m(t) dt = N'_{m+1}(x).$$

Let  $x \in [\frac{1}{2}, \frac{m-1}{4}]$ . By (3.4) and ii), we obtain for  $x \in [\frac{1}{2}, \frac{m}{4} - \frac{1}{2}]$  that

$$N'_{m+1} \left( \frac{m+1}{2} - x \right) = \int_{\frac{m-1}{2}-x}^{\frac{m+1}{2}-x} N'_m(t) dt \geq \int_{x-\frac{1}{2}}^{x+\frac{1}{2}} N'_m(t) dt$$

and similarly for  $x \in (\frac{m}{4} - \frac{1}{2}, \frac{m-1}{4}]$  that

$$\begin{aligned} N'_{m+1} \left( \frac{m+1}{2} - x \right) &= \int_{\frac{m-1}{2}-x}^{x+\frac{1}{2}} N'_m(t) dt + \int_{x+\frac{1}{2}}^{\frac{m+1}{2}-x} N'_m(t) dt \\ &\geq \int_{\frac{m-1}{2}-x}^{x+\frac{1}{2}} N'_m(t) dt + \int_{x-\frac{1}{2}}^{\frac{m-1}{2}-x} N'_m(t) dt = \int_{x-\frac{1}{2}}^{x+\frac{1}{2}} N'_m(t) dt. \end{aligned}$$

Now assumption i) implies that

$$N'_{m+1} \left( \frac{m+1}{2} - x \right) \geq \int_{x-\frac{1}{2}}^{x+\frac{1}{2}} N'_m(t) dt \geq \int_{x-1}^x N'_m(t) dt = N'_{m+1}(x).$$

Finally, let  $x \in [\frac{m-1}{4}, \frac{m+1}{4}]$ . By (3.4) we obtain

$$\begin{aligned} N'_{m+1} \left( \frac{m+1}{2} - x \right) - N'_{m+1}(x) &= \int_{\frac{m-1}{2}-x}^{\frac{m+1}{2}-x} N'_m(t) dt - \int_{x-1}^x N'_m(t) dt \\ &= \int_x^{\frac{m+1}{2}-x} N'_m(t) dt - \int_{x-1}^{\frac{m-1}{2}-x} N'_m(t) dt \\ &= \int_x^{\frac{m+1}{4}} N'_m(t) dt + \int_{\frac{m+1}{4}}^{\frac{m+1}{2}-x} N'_m(t) dt - \int_{x-1}^{\frac{m-3}{4}} N'_m(t) dt - \int_{\frac{m-3}{4}}^{\frac{m-1}{2}-x} N'_m(t) dt. \end{aligned}$$

By induction hypothesis i), we have

$$\int_x^{\frac{m+1}{4}} N'_m(t) dt \geq \int_{x-1}^{\frac{m-3}{4}} N'_m(t) dt,$$

while assumptions ii) and i) yield

$$\int_{\frac{m+1}{4}}^{\frac{m+1}{2}-x} N'_m(t) dt \geq \int_{x-\frac{1}{2}}^{\frac{m-1}{4}} N'_m(t) dt \geq \int_{\frac{m-3}{4}}^{\frac{m-1}{2}-x} N'_m(t) dt.$$

Thus, we get assertion ii) for  $x \in [\frac{m-1}{4}, \frac{m+1}{4}]$ .

This completes the proof. ■

**Theorem 3.2.** Let  $g(x) := M_m(a(x-1/4))$  ( $m \geq 2$ ). Then  $\mathcal{B}_g$  is not a Riesz basis for  $a \geq 2m$ , while it constitutes a Riesz basis for  $m \leq a < 2m$  with Riesz bounds  $A_m = 2M_m^2(a/4)$  and  $B_m = M_m^2(0)$ .

**Proof.** Since  $\text{supp}(g) \subseteq [-1/4, 3/4]$  and  $M_m(x) = M_m(-x)$ , we obtain by (2.3) for  $a \geq m$  that

$$D_g(s, t) = D_g(s) = M_m^2(as) + M_m^2(a(1/2 - s)) \quad (s \in [0, 1/2]).$$

We show that the function  $D_g(s)$  attains its minimum on  $[0, 1/4]$  in  $s = 1/4$  and its maximum in  $s = 0$ . To this end we calculate the derivative

$$D'_g(s) = 2a (M_m(as)M'_m(as) - M_m(a(1/2 - s))M'_m(a(1/2 - s))).$$

By Lemma 3.1, we have  $M'_m(as) \leq M'_m(a(1/2 - s)) \leq 0$  for  $s \in [0, 1/4]$ .

Since further  $M_m(as) \geq M_m(a(1/2 - s)) \geq 0$  for  $s \in [0, 1/4]$ , we conclude that  $D'_g(s) \leq 0$  for  $s \in [0, 1/4]$ . Consequently, we obtain by Theorem 2.1 for  $m \leq a < 2m$  that  $A_m = 2M_m^2(a/4) > 0$  and  $B_m = 2M_m^2(0) < \infty$ . For  $a \geq 2m$ , we see that  $M_m(a/4) = 0$  such that  $\mathcal{B}_g$  is not a Riesz basis. ■

To see how  $C_m := B_m/A_m$  increases with  $m$ , we consider the following computation, where  $a := 2m$ :

$m$	2	6	10	22	26	30	34	38
$C_{m+1}/C_m$	1.778	2.2580	2.2623	2.2640	2.2641	2.2641	2.2642	2.2642

Indeed, using [17], the quotient  $\lim_{m \rightarrow \infty} C_{m+1}/C_m$  can be estimated as follows: Since by Taylor expansion of the sin function

$$f_m(t) := \text{sinc}\left(\sqrt{\frac{6}{m}}t\right)^m = \left(1 - \frac{\pi^2 t^2}{m} + \frac{1}{5!} \left(\frac{6}{m}\right)^2 \pi^4 t^4 - \dots\right)^m,$$

we see that the functions  $f_m$  converge uniformly for  $m \rightarrow \infty$  to  $e^{-\pi^2 t^2}$ . Since on the other hand by (3.2)

$$\begin{aligned} M_m(0) &= \int_{-\infty}^{\infty} (\text{sinc } v)^m dv = \sqrt{\frac{6}{m}} \int_{-\infty}^{\infty} \left(\text{sinc}\left(\sqrt{\frac{6}{m}}t\right)\right)^m dt, \\ M_m\left(\frac{m}{4}\right) &= \int_{-\infty}^{\infty} (\text{sinc } v)^m e^{2\pi i v m/4} dv = \sqrt{\frac{6}{m}} \int_{-\infty}^{\infty} \left(\text{sinc}\left(\sqrt{\frac{6}{m}}t\right)\right)^m e^{2\pi i \sqrt{3/(8m)}t} dt \end{aligned}$$

and the Fourier transform of  $e^{-x^2/b}$  is given by  $\sqrt{\pi b} e^{-bv^2\pi^2}$ , we have that

$$\lim_{m \rightarrow \infty} (M_{m+1}(0)/M_m(0))^2 = m/(m+1) = 1$$

while

$$\lim_{m \rightarrow \infty} \left( \frac{M_m(m/4)}{M_{m+1}((m+1)/4)} \right)^2 \approx \lim_{m \rightarrow \infty} \frac{m}{m+1} \frac{e^{-3m/4}}{e^{-3(m+1)/4}} = e^{3/4} \approx 2.117.$$

Preparing the next result we start with the definition of exponential Euler splines. The *exponential Euler splines*  $\phi_m$  ( $m \in \mathbb{N}$ ) are defined by [15]

$$\phi_m(s, t) = \sum_{k \in \mathbb{Z}} M_m(s - k) e^{2\pi i k t} \quad (s \in \mathbb{R}, t \in (-1/2, 1/2)). \quad (3.5)$$

The following theorem summarizes results about exponential Euler splines stated in [19].

**Theorem 3.3.** The exponential Euler splines  $\phi_m$  ( $m \geq 2$ ) satisfy:

- i) Let  $s, t \in [0, 1/2]$  be fixed. Then  $|\phi_m(s, t)| \leq |\phi_{m-1}(s, t)|$ .
- ii) Let  $s \in [0, 1]$  be fixed. Then  $|\phi_m(s, t)|$  decreases for  $t \in [0, 1/2]$ . Furthermore,  $(s, t) = (1/2, 1/2)$  is the unique root of  $\phi_m$  on  $[0, 1] \times [0, 1/2]$ .
- iii) Let  $t \in [0, 1/2]$  be fixed. Then  $|\phi_m(s, t)|$  decreases for  $s \in [0, 1/2]$  and increases for  $s \in [1/2, 1]$ .
- iv)  $B$ -splines form a partition of unity, i.e.  $\phi_m(s, 0) = 1$  for  $s \in [0, 1]$ .
- v) The function

$$U_m(s) := \phi_m(s, 1/2) = \sum_{k \in \mathbb{Z}} (-1)^k M_m(s - k)$$

decreases on  $[0, 1]$ , where  $U_m(0) > 0$  and satisfies the additional properties:

$$\begin{aligned} U_m(1 - s) &= -U_m(s), \\ U'_m(-s + 1/2) &= U'_m(s + 1/2) = -2U_{m-1}(s) \quad (m > 2), \\ U''_m(s) &= -4U_{m-2}(s) \quad (m > 3). \end{aligned}$$

Now we can formulate our next result.

**Theorem 3.4.** Let  $g(x) := M_m(x - 1/4)$  ( $m \geq 2$ ). Then  $B_g$  constitutes a Riesz basis with upper Riesz bound  $B = 2$  and lower Riesz bound  $A = A_m$ , which can be estimated by

$$U_m^2(0)/2 \leq A_m \leq U_{m-1}^2(0)/2,$$

i.e. for even  $m$  by

$$2(1 - 2^{-m})^2 \left( \frac{2}{\pi} \right)^{2m} \leq A_m \leq \frac{\pi^4}{8} \left( \frac{1 - 2^{2-m}}{1 - 2^{3-m}} \right)^2 \left( \frac{2}{\pi} \right)^{2m},$$

and for odd  $m$  by

$$2(1 - 2^{-m-1})^2 \left( \frac{2}{\pi} \right)^{2(m+1)} \leq A_m \leq \frac{\pi^4}{8} \left( \frac{1 - 2^{1-m}}{1 - 2^{2-m}} \right)^2 \left( \frac{2}{\pi} \right)^{2(m+1)}$$

Note that for sufficiently large  $m \in \mathbb{N}$

$$C_{m+1}/C_m \approx A_m/A_{m+1} \approx (\pi/2)^2 \approx 2.4672.$$

**Proof.** By (2.3), (3.5) and since  $M_m$  is even, we obtain

$$D_g(s, t) = |\phi_m(1/4 - s, t)|^2 + |\phi_m(1/4 + s, t)|^2 \quad ((s, t) \in [0, 1/2] \times \mathbb{T}).$$

By Theorem 3.3ii), the above function attains its minimum in  $t = 1/2$  and its maximum in  $t = 0$ . Thus, we conclude by Theorem 2.1, that we have to look for

$$A_m = \min\{D_g(s, 1/2) : s \in [0, 1/4]\} \text{ and } B_m = \max\{D_g(s, 0) : s \in [0, 1/4]\}.$$

By Theorem 3.3iv), we see immediately that  $B_m = B = 2$ .

Following Theorem 3.3v), we rewrite  $A$  in the form

$$A_m = \min\{U_m^2(s) + U_m^2(1/2 - s) : s \in [0, 1/4]\}.$$

By straightforward computation we obtain that  $A_2 = 1/2$  and  $A_3 = 1/4$ .

In the following, let  $m > 3$ . We define the linear function

$$h_m(s) := -2U_m(0)s + U_m(0).$$

passing through the points  $(0, U_m(0))$  and  $(1/2, U_m(1/2)) = (1/2, 0)$ . Since we have by Theorem 3.3v) that  $U_m''(s) \leq 0$  for  $s \in [0, 1/2]$ , the function  $U_m$  is concave on  $[0, 1/2]$ . Thus,  $h_m(s) \leq U_m(s)$  for  $s \in [0, 1/2]$ . On the other hand, we see by Theorem 3.3v) that

$$h_{m-1}(s) = -2U_{m-1}(0)s + U_{m-1}(0) = U_m'(1/2)s + U_{m-1}(0)$$

such that  $U_m(s) \leq h_{m-1}(s)$  for  $s \in [0, 1/2]$ .

Now it is easy to check that  $\min\{h_m^2(s) + h_m^2(1/2 - s) : s \in [0, 1/4]\} = U_m^2(0)/2$ .

Consequently,

$$U_m^2(0)/2 \leq A_m \leq U_{m-1}^2(0)/2. \quad (3.6)$$

By [14], we have that

$$U_{2m}(0) = \frac{2^{2m}(2^{2m} - 1)}{(2m)!} |B_{2m}|$$

and further since the *Bernoulli numbers*  $B_{2m}$  can be estimated by

$$\frac{2(2m)!}{(2\pi)^{2m}} < |B_{2m}| < \frac{2(2m)!}{(2\pi)^{2m}} \frac{2^{2m}}{2^{2m} - 2}$$

that

$$\frac{2(2^{2m} - 1)}{\pi^{2m}} < U_{2m}(0) < \frac{2(2^{2m} - 1)}{\pi^{2m}} \frac{2^{2m}}{2^{2m} - 2}.$$

By Theorem 3.3i), it follows  $U_{2m+2}(0) \leq U_{2m+1}(0) \leq U_{2m}(0)$  such that

$$\frac{2(2^{2m+2} - 1)}{\pi^{2m+2}} < U_{2m+1}(0) < \frac{2(2^{2m} - 1)}{\pi^{2m}} \frac{2^{2m}}{2^{2m} - 2}.$$

Together with (3.6) this yields the desired estimates for  $A_m$ . ■

Finally, we consider Wilson bases with powers of sinc-functions as window functions. Again, we prepare our result by proving some properties of  $B$ -splines.

**Lemma 3.5.** Let  $m \geq 2$  and

$$V_m(x) := \sum_{k \in \mathbb{Z}} (-1)^k M_m(x - 2k).$$

Then, for odd  $m \in \mathbb{N}$ ,

$$V_m(1/2) = 2^{(m-3)/2} U_m(0)$$

and for even  $m \in \mathbb{N}$ ,

$$\begin{aligned} V_m(0) &= 2^{(m-2)/2} U_m(0), \\ 2^{(m-4)/2} U_m(0) &\leq V_m(1/2) \leq 2^{(m-2)/2} U_m(0). \end{aligned}$$

**Proof.** Due to the two-scale relation (3.3) we obtain

$$U_m(0) = \sum_{j \in \mathbb{Z}} (-1)^j \left( 2^{1-m} \sum_{k=0}^m \binom{m}{k} M_m(2j + \frac{m}{2} - k) \right). \quad (3.7)$$

Let  $m \in \mathbb{N}$  be odd. Then (3.7) can be rewritten as

$$U_m(0) = 2^{1-m} \sum_{l=(-m+1)/2}^{(m+1)/2} \binom{m}{\frac{m-1}{2} + l} \sum_{j \in \mathbb{Z}} (-1)^j M_m(2j + \frac{1}{2} - l).$$

Since  $M_m$  is even and

$$\binom{m}{\frac{m-1}{2} + 2r + 1} = \binom{m}{\frac{m-1}{2} - 2r},$$

we obtain by splitting the above sum into even and odd  $l \in \mathbb{N}$  that

$$\begin{aligned} U_{2m}(0) &= 2^{2-m} \sum_{l=[(-m+3)/4]}^{[(m+1)/4]} \binom{m}{\frac{m-1}{2} + 2l} \sum_{j \in \mathbb{Z}} (-1)^j M_m(2j + \frac{1}{2} - 2l) \\ &= 2^{2-m} V_m\left(\frac{1}{2}\right) \sum_{l=[(-m+3)/4]}^{[(m+1)/4]} (-1)^l \binom{m}{\frac{m-1}{2} + 2l}, \end{aligned}$$

where  $[x]$  denotes the integer part of  $x$ , i.e.  $[x] \leq x < [x] + 1$ . The last sum  $S_o$  has the form

$$S_o = \begin{cases} \left| \sum_{k=0}^{(m-1)/2} \binom{m}{2k} - 2 \sum_{k=0}^{(m-5)/4} \binom{m}{4k+2} \right| & m \equiv 1 \pmod{8} \text{ or } m \equiv 5 \pmod{8}, \\ \left| \sum_{k=0}^{(m-1)/2} \binom{m}{2k+1} - 2 \sum_{k=0}^{(m-3)/4} \binom{m}{4k+3} \right| & m \equiv 3 \pmod{8} \text{ or } m \equiv 7 \pmod{8}. \end{cases}$$

Using the formulas in [20, p. 17], we obtain that  $S_u = 2^{(m-1)/2}$  and consequently  $U_m(0) = 2^{(3-m)/2}V_m(1/2)$ .

For the rest of the proof let  $m \in \mathbb{N}$  be even. Then (3.7) can be rewritten as

$$U_m(0) = 2^{1-m} \sum_{l=-m/2}^{m/2} \binom{m}{\frac{m}{2} + l} \sum_{j \in \mathbb{Z}} (-1)^j M_m(2j - l).$$

Since  $M_m$  is even, we have for  $l = 2r + 1$  that

$$\sum_{j \in \mathbb{Z}} (-1)^j M_m(2j - 2r - 1) = (-1)^r \sum_{k \in \mathbb{Z}} (-1)^k M_m(2k - 1) = 0$$

such that

$$U_m(0) = 2^{1-m} \sum_{k \in \mathbb{Z}} (-1)^k M_m(2k) \sum_{l=-\lfloor \frac{m}{4} \rfloor}^{\lfloor \frac{m}{4} \rfloor} (-1)^l \binom{m}{\frac{m}{2} + 2l}.$$

The last sum  $S_e$  has the form

$$S_e = \begin{cases} \left| \sum_{k=0}^{m/2} \binom{m}{2k} - 2 \sum_{k=0}^{m/4} \binom{m}{4k} \right| & m \equiv 0 \pmod{4}, \\ \left| \sum_{k=0}^{(m-2)/2} \binom{m}{2k+1} - 2 \sum_{k=0}^{(m-2)/4} \binom{m}{4k+1} \right| & m \equiv 2 \pmod{4}. \end{cases}$$

Using [20, p. 17] again, we see that  $S_e = 2^{m/2}$ . Hence  $U_m(0) = 2^{(2-m)/2}V_m(0)$ .

To prove the last assertion we consider  $V_m(x)$ . Obviously,  $V_2(x) = M_2(x) = 1 - x$  for  $x \in [0, 1]$ . Assume that  $V_{m-2}(x) > 0$  for  $x \in (0, 1)$  and  $m \geq 4$ . By (3.4) and (3.1), it follows  $V_m''(x) = -2V_{m-2}(x) < 0$  such that  $V_m$  is concave on  $(0, 1)$ . Since further  $V_m(0) = 2^{(m-2)/2}U_m(0) > 0$  and  $V_m(1) = 0$ , we obtain  $V_m(x) > 0$  for  $x \in (0, 1)$ . Now concavity of  $V_m$  yields

$$V_m(1/2) \geq \frac{1}{2}(V_m(0) + V_m(1)) = \frac{1}{2}V_m(0).$$

Using that  $M_m'(x) = -M_m'(-x)$ , we get  $V_m'(0) = 0$ . Hence,  $V_m$  has a local maximum in  $x = 0$  and  $V_m(1/2) \leq V_m(0)$ . This completes the proof. ■

**Theorem 3.6.** Let  $g(x) := (\text{sinc}(x - 1/4))^m$  ( $m \geq 2$ ). Then  $\mathcal{B}_g$  is a Riesz basis and the Riesz bounds  $A = A_m$  and  $B = B_m$  can be estimated by

$$0 < A_m \leq \begin{cases} 2^{m-1} U_m^2(0) & m \text{ odd} \\ 2^m U_m^2(0) & m \text{ even} \end{cases} \leq \begin{cases} 4 \left( \frac{2\sqrt{2}}{\pi} \right)^{2m-2} \left( \frac{1-2^{1-m}}{1-2^{2-m}} \right)^2 & m \text{ odd}, \\ 4 \left( \frac{2\sqrt{2}}{\pi} \right)^{2m} \left( \frac{1-2^{-m}}{1-2^{1-m}} \right)^2 & m \text{ even}, \end{cases}$$

$$1 + U_m^2(0) \leq B_m \leq 1 + 2U_m(0) + U_m^2(0).$$

Note that for large  $m \in \mathbb{N}$

$$C_{m+1}/C_m \approx (A_m/A_{m+2})^{1/2} \approx \left( \frac{\pi}{2\sqrt{2}} \right)^2 \approx 1.2337.$$

**Proof.** By (2.5) and since  $g = (M_m e^{2\pi i \cdot /4})^\wedge$ , we obtain for  $((s, t) \in [0, 1/2] \times \mathbb{T})$  that

$$\begin{aligned} D_g(s, t) &= |Z(M_m e^{2\pi i \cdot /4})(t, -s)|^2 + |Z(M_m e^{2\pi i \cdot /4})(t, s)|^2 \\ &= \left| \sum_{k \in \mathbb{Z}} M_m(t+k) e^{2\pi i k(1/4-s)} \right|^2 + \left| \sum_{k \in \mathbb{Z}} M_m(t+k) e^{2\pi i k(1/4+s)} \right|^2. \end{aligned}$$

By Theorem 2.1 and Theorem 3.3iii), we have to look for the minimum of  $D_g$  in  $[0, 1/4] \times \{1/2\}$  and for the maximum in  $[0, 1/4] \times \{0\}$ .

Concerning the minimum we obtain by  $2(|a|^2 + |b|^2) = |a+b|^2 + |a-b|^2$  that

$$\begin{aligned} D_g(s, \frac{1}{2}) &= \left| \sum_{k \in \mathbb{Z}} M_m(\frac{1}{2} + k) e^{2\pi i k(s-1/4)} \right|^2 + \left| \sum_{k \in \mathbb{Z}} M_m(\frac{1}{2} + k) e^{2\pi i k(s+1/4)} \right|^2 \\ &= 2 \left| \sum_{k \in \mathbb{Z}} M_m(\frac{1}{2} + k) e^{2\pi i k s} \cos(\frac{\pi k}{2}) \right|^2 + 2 \left| \sum_{k \in \mathbb{Z}} M_m(\frac{1}{2} + k) e^{2\pi i k s} \sin(\frac{\pi k}{2}) \right|^2 \\ &= 4 \left| \sum_{k \in \mathbb{Z}} M_m(\frac{1}{2} + k) e^{2\pi i k s} \cos(\frac{\pi k}{2}) \right|^2. \end{aligned}$$

For  $m \leq 10$  it is easy to check by straightforward computation that  $D_g(s, 1/2)$  has its minimum in  $s = 0$ . However, for arbitrary  $m \in \mathbb{N}$ , we were not able to prove this result. Therefore  $D_g(0, 1/2)$  can only serve as upper bound of the minimum. Applying Lemma 3.5, we obtain

$$D_g(0, \frac{1}{2}) = 4 \left| \sum_{k \in \mathbb{Z}} (-1)^k M_m(\frac{1}{2} + 2k) \right|^2 \begin{cases} = 2^{m-1} U_m^2(0) & m \text{ odd,} \\ \leq 2^m U_m^2(0) & m \text{ even.} \end{cases}$$

By Theorem 3.3ii), we see that  $D_g(s, 1/2) > 0$ .

Concerning the maximum we examine

$$\begin{aligned} D_g(s, 0) &= \left| \sum_{k \in \mathbb{Z}} M_m(k) e^{2\pi i k s} \right|^2 + \left| \sum_{k \in \mathbb{Z}} M_m(k) e^{2\pi i k(1/2-s)} \right|^2 \\ &= \left( M_m(0) + 2 \sum_{k=1}^{\infty} M_m(k) \cos(2\pi k s) \right)^2 + \left( M_m(0) + 2 \sum_{k=1}^{\infty} (-1)^k M_m(k) \cos(2\pi k s) \right)^2. \end{aligned}$$

A lower bound for the maximum of  $D_g(s, 0)$  is given by

$$D_g(0, 0) = 1 + U_m(0)^2.$$

Regarding that  $U_m(0) > 0$ , an upper bound for the maximum of  $D_g(s, 0)$  can be obtained by  $a^2 + b^2 \leq (a+b)^2$ , ( $ab \geq 0$ ), namely

$$\begin{aligned} D_g(s, 0) &\leq (2M_m(0) + 4 \sum_{k=1}^{\infty} M_m(2k) \cos(2\pi k s))^2 \\ &\leq 4 \left( \sum_{k \in \mathbb{Z}} M_m(2k) \right)^2 = (1 + U_m(0))^2, \end{aligned}$$

where the last equation follows by Theorem 3.3iv) and definition of  $U_m$ . This completes the proof.  $\blacksquare$



Based on Section 2, biorthogonal Wilson bases with Gaussians as window functions can be examined in a different way as in [8]. For estimations of Riezs bounds and explicit constructions of dual window functions see [16].

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